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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/810,871	03/16/2001	Benjamin N. Eldridge	P70-US	7472

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EXAMINER

GILMAN, ALEXANDER

ART UNIT PAPER NUMBER

2833

DATE MAILED: 01/03/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Applicati n N .

09/810,871

Applicant(s)

ELDRIDGE ET AL.

Examiner

Alexander Gilman

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 10 October 2002.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 36-58 and 60-76 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 36-58 and 60-76 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413) Paper No(s) \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

**DETAILED ACTION**

***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 36-57 and 75 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 36, lines 14-15, recited "...said first and second surfaces of said flexible substrate ..." It is unclear, which component's flexible substrate is claimed. On one hand, a substrate of flexible contactor is not claimed. On the other hand, it is not claimed that a substrate of the interposer is flexible.

For purposes of examination, it was assumed that the contactor comprises a flexible surface.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

1. Claims 58, 60-74, and 76 are rejected under 35 U.S.C. 102(b) as being anticipated by Eldridge et al (US Pat. No. 5,974,662).

With regard to claims 58 and 76, Eldridge et al (US Pat. No. 5,974,662) disclose an assembly comprising:

a contactor <sup>502</sup>(508);

an interposer substrate (504) having first and second opposite sides with a first set of resilient contact elements on the first side and the second set of resilient contact elements

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not shown  
a base (530) supporting the semiconductor device (508) comprising  
Also, Eldridge et al (US Pat. No. 5,974,662) disclose that the semiconductor device is a wafer  
with a plurality of unsingulated dice. (col. 1 lines 32-38)

Additionally, it has been held that a recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus satisfying the claimed structural limitations. *Ex parte Masham*, 2 USPQ2d 1647 (1987). In this case, the Application does not provide any specific structural features of the testing apparatus which are necessary for testing a plurality of unsingulated dice while are not necessary for testing singulated dice.

With regard to claims 60-74, Eldridge et al (US Pat. No. 5,974,662 disclose all of the limitations as respectively applied to claims 37,39,41-49, 51-54 below.

#### **Claim Rejections - 35 USC § 103**

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

1. Claims 36, 39-57 and 75 are rejected under 35 U.S.C. 102(b) as being unpatentable over Eldridge et al (US Pat. No. 5,974,662) in view of Brown.

With regard to claims 36, 43, 48 Eldridge et al (US Pat. No. 5,974,662 disclose an assembly comprising:

a contactor (502);

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an interposer substrate (504) having first and second opposite sides with a first set of resilient contact elements on the first side and the second set of resilient contact elements

a base <sup>not shown</sup> (530) supporting the semiconductor device (502).

Eldridge et al (US Pat. No. 5,974,662) do not disclose:

a flexible substrate of the contactor.

Brown (US 4,820,976) discloses

a flexible substrate (28) of the contactor.

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to provide the Eldridge et al contactor with a flexible substrate, as taught by Brown, to assure sufficient <sup>and balanced</sup> electrical contact and adequate heat transfer from the contacts on the die to the contacts on the test fixture.

With regard to claims 39, 40, 61, Eldridge et al disclose the contact elements comprise a cantiliver beam

With regard to claims 41, 62, Eldridge et al disclose the resilient contact structures are offset in position from said second set of resilient contacts structures (Fig. 4, col. 28, lines 24-34).

With regard to claims 43-47, 65-68, Eldridge et al disclose the electronic devices disposed on the substrate (col. 28, lines 44-46).

With regard to claims 51-57, 75, Eldridge et al disclose that contactor comprising integrated circuits for testing semiconductor device including a wafer or plurality of singulated dices.

2. Claims 37, 38, 60 are rejected under 35 U.S.C. 103(a) as being unpatentable over Eldridge et al (US Pat. No. 5,974,662) and Eldridge et al (US Pat. No. 6,184,053)

Eldridge et al (US Pat. No. 5,974,662) disclose all of the limitations except for the resilient contact structures formed lithographically .

Eldridge et al (US Pat. No. 6,184,053) disclose the resilient contact structures, comprise a cantiliver beams and are formed lithographically (col. 3, lines 6-11).

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Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to provide the Eldridge et al (US Pat. No. 5,974,662) interposer with the resilient contact structures formed lithographically as taught by Eldridge et al (US Pat. No. 6,184,053), to precisely dispose the contacts

3. Claims 49 and 50 are rejected under 35 U.S.C. 103(a) as being unpatentable over Eldridge et al (US Pat. No. 5,974,662) and further in view of Khandros et al.

Eldridge et al (US Pat. No. 5,974,662) discloses all of the limitations except for the overtravel stops.

Khandros et al (US Pat. No. 6,064,213) disclose the overtravel stops (114).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to provide the Loranger et al - Eldridge et al (US Pat. No. 5,974,662) with the overtravel stops as taught by Khandros et al (US Pat. No. 6,064,213), to control the travel length of the resilient contacts during the test.

4. Claims 42 and 63 rejected under 35 U.S.C. 103(a) as being unpatentable over Eldridge et al (US Pat. No. 5,974,662) and further in view of Brozowski et al.

Loranger et al - Eldridge et al (US Pat. No. 5,974,662) discloses all of the limitations except for the flexible substrate.

Brozowski et al (Electronic Packaging & Interconnection Handbook, McGraw-Hill, 1997, Ch. 8) disclose (p. 8-5) the flexible substrate.

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to provide the Loranger et al - Eldridge et al (US Pat. No. 5,974,662) with the flexible substrate, as taught by Brozowski et al, to improve alignment of the mating contacts..

### ***Response to Arguments***

Applicant's arguments with respect to claims 36 and 58 have been considered but are moot in view of the new ground(s) of rejection.

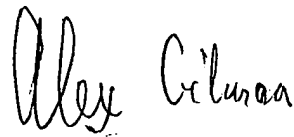
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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alexander Gilman whose telephone number is (703) 305-0847. The examiner can normally be reached on Monday-Friday, 10:30 a.m. - 8:00 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Paula A. Bradley can be reached on (703) 308-2319. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 305-7724 for regular communications and (703) 308-7722 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-4900.

Alexander Gilman

A handwritten signature in black ink that reads "Alex Gilman". The signature is written in a cursive, flowing style.

December 30, 2002